



Shawn Waltz Mid-Atlantic Regional Director

Shawn Waltz is the Mid-Atlantic Regional Director for Dunham & Associates Investment Counsel, Inc. Shawn provides a consultative approach to partnering with Financial Advisors in the Mid-Atlantic region to implement Dunham's investment solutions to help clients meet their financial goals and objectives. He provides a strong focus on practice management, client retention and business development. Prior to joining Dunham in 2019, Shawn was a regional director with Lockwood Advisors and an agent at John Hancock.

Shawn earned his Bachelor of Science in Business Administration from Pennsylvania State University. He holds a Certified Investment Management Analyst (CIMA) and Chartered Retirement Planning Counselor (CRPC) designation. He also has his Series 7, 63 and 65 licenses.

Shawn was born, raised, and still resides in the Greater Philadelphia area. He enjoys spending time with his son Jacob and wife Claire. He also pursues his many hobbies including; playing the bass guitar, softball, kickboxing and running.

At a Glance

- ✓ Mid-Atlantic Regional Director
- ✓ Certified Investment Management Analyst, Chartered Retirement Planning Counselor, FINRA Series 7, 63, and 65
- ✓ Strong focus on practice management, client retention, and business development
- ✓ Carries a B.S. in Business Administration from Pennsylvania State University

About Dunham & Associates

In 1985, Jeffrey Dunham founded Dunham with the uncommon idea that fees paid by clients should be tied, in some way, to the performance of the investments they own. Today, Dunham and Dunham Trust have grown to become a multi-billion dollar firm, but we are still driven by the values of fairness and accountability that Jeffrey envisioned almost four decades ago.